STUDIES OF C60, C70 AND OTHER FULLERENES: SYNTHESIS, DERIVATIZATION, ELECTROCHEMISTRY, LUBRICANT PROPERTIES AND NEW COMPOSITE MATERIALS

FINAL REPORT

R.E. Smalley, J.L. Margrave, R.H. Hauge, L.J. Wilson, W.E. Billups and M.A. Ciufolini

February 1995

U.S. Army Research Office P. O. Box 12211 Research Triangle Park, NC 27709-2211

DTIC ELECTE MAR 2 9 1995

30446-CH

Rice Quantum Institute, Rice Institute for Biochemical and Genetic Engineering, and Departments of Chemistry and Physics, RICE UNIVERSITY, HOUSTON, TEXAS 77251

Approved for Public Release: Distribution Unlimited

19950327 183

DTIC QUALITY INSPECTED 1

REPORT DOCUMENTATION PAGE

OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden. To Washington Headquarters Services, Directorate for information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.

1. AGENCY USE ONLY (Leave blank) 2. REPORT DATE 3. REPORT TYPE AND DATES COVERED February 1995 mal

4. TITLE AND SUBTITLE Studies of C and C and Other Fullerenes 5. FUNDING NUMBERS Synthesis, Derivatization, Electrochemistry, Lubricant Properties and New Composite Materials.

R.E. Smalley, J.L. Margrave, R.H. Hauge, L.J. Wilson,

W.E. Billups and M.A. Ciufolini

DAAL03-92-6-0186

7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Rice Quantum Institute, Rice Institute for Biochemical and Genetic Engineering, and Departments of Chemistry and Physics at Rice University, Houston, Texas 77251

8. PERFORMING ORGANIZATION REPORT NUMBER

9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)

U.S. Army Research Office

P.O. Box 12211

Research Triangle Park, NC 27709-2211

10. SPONSORING / MONITORING AGENCY REPORT NUMBER

ARO 30446.1-CH

11. SUPPLEMENTARY NOTES

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy, or decision, unless so designated by other documentation.

12a. DISTRIBUTION / AVAILABILITY STATEMENT

12b. DISTRIBUTION CODE

Approved for public release; distribution unlimited.

13. ABSTRACT (Maximum 200 words)

New designs for fullerene generators have been developed, including systems for producing endohedral species with metals inside the C₆₀ or C₇₀ cages. Also, focussed solar energy has been utilized for fullerene production. Electrochemical studies have led to the identification of C₆₀⁻¹, C₆₀⁻² ...C₆₀⁻⁶ ions and utilized for preparation of superconducting K₃C₆₀. Birch reductions of C₆₀ and C₇₀ led to C₆₀H₃₀ and C₇₀H₃₀ respectively. Adducts of C₆₀ with tetraphenylcyclopentadienone and with cyclopropananaphthalene are described, and low-temperature reactions of C₆₀ with H₂ and with energetic nitroben ion beams are reported. C60 does not appear to enhance the lubricity of motor oil formulations. The vapor pressure has been measured and it is estimated that the total pressure reaches one atmosphere at ca. 1523K.

14. SUBJECT TERMS			15. NUMBER OF PAGES 35	
FINAL REPORT	9		16. PRICE CODE	
17. SECURITY CLASSIFICATION OF REPORT	18. SECURITY CLASSIFICATION OF THIS PAGE	19. SECURITY CLASSIFICATION OF ABSTRACT	20. LIMITATION OF ABSTRACT	
IINCI.ASSTETED	UNCLASSIFIED	UNCLASSIFIED	1 117.	

TABLE OF CONTENTS

I.	STUDIES OF C ₆₀ , C ₇₀ , AND OTHER FULLERENES: SYNTHESIS, DERIVATIZATION, ELECTROCHEMISTRY, LUBRICANT PROPERTIES AND NEW COMPOSITE MATERIALS				
	ANL	NEW COMPOSITE MATERIALS	Page		
	LIST	OF FIGURES	2		
	INTRODUCTION		3		
	1.	Fullerene Generator Development	3		
	2.	Metal Endohedral Fullerenes	4		
	3.	Electrochemical Syntheses of Superconducting Fulleride-Derived Materials	5		
	4.	Birch Reduction of C ₆₀ and C ₇₀	8		
	5.	(a) Adducts of C ₆₀ : Diels-Alder Reactions	14		
		(b) Adducts of He@C ₆₀	17		
	6.	Reactions of C_{60} -Thin Films with Hydrogen and with Nitrogen	19		
	7.	C ₆₀ as a Lubricant	22		
	8.	Sublimation Pressures of a C ₆₀ /C ₇₀ Solid Solution	22		
	REF	ERENCES	24		
II.	SEL	ECTED PUBLICATIONS OF PRINCIPAL INVESTIGAT	ORS 27		
III.		DENTS, POST-DOCTORAL RESEARCHERS AND OTH LABORATORS	ER For 34 CRA&I DTIC TAB Unannounced Justification		
			Ву		
			Distribution /		
			Availability Codes		
			Dist Avail and for Special		

LIST OF FIGURES

		rage
1.	Reduction of C ₆₀ in CH ₃ CN/toluene	6
2.	Flow Scheme for the Electrosynthesis of K ₃ C ₆₀ from C ₆₀	7
3.	FDMS of the Birch Reduction Product of 99% C60	9
4.	Structure of C ₆₀ H ₃₆ from the Birch Reduction of C ₆₀	10
5.	Structure of C ₇₀ H ₃₆ from the Birch Reduction of C ₇₀	12
6.	¹³ C NMR spectrum of C ₆₀ · TPC adduct in C ₆ D ₆	15
7.	UV-vis spectrum of C ₆₀ ·TPC adduct in benzene solution (solvent is subtracted)	15
8.	IR spectrum of C ₆₀ TPC adduct pressed into KBr pellet	16
9.	3 He NMR spectrum of the Reaction Product of C_{60} and Cyclopropanaphthalene	18
10.	Raman spectra of carbon films formed by co-deposition of C_{60} and nitrogen ion beams	21

STUDIES OF C₆₀, C₇₀, AND OTHER FULLERENES: SYNTHESIS, DERIVATIZATION, ELECTROCHEMISTRY, LUBRICANT PROPERTIES AND NEW COMPOSITE MATERIALS

R. E. Smalley, J.L. Margrave, R.H. Hauge, L.J. Wilson, W.E. Billups, and M.A. Ciufolini

Rice Quantum Institute, Rice Institute for Biochemical and Genetic Engineering, and
Departments of Chemistry and Physics,
Rice University, Houston, Texas 77251

INTRODUCTION

The goal of this research was to investigate various materials applications, materials properties and the chemistry of the newly available soccer ball-shaped buckminsterfullerene, C₆₀, and related substances ("fullerenes"). We proposed to explore research areas that could lead to important advances in materials science, energy technology, and, possibly, even in biomedicine. The results of this research effort at Rice University are documented in the Ph.D. theses of 10 students (6 more in progress) and in many publications, including a definitive book edited by W.E. Billups and M.A. Ciufolini. In the various sections of this report and the related publications, we describe advances in the preparation and characterization of fullerenes and metal endohedral fullerenes; the electrochemical synthesis of superconducting fullerides; chemical reactions of fullerenes with hydrogen, helium, and organic molecules; and measurements of vapor pressures, lubricity and other properties.

1. Fullerene Generator Development (Richard E. Smalley and Associates).

Since the original discovery in 1985 that fullerenes are produced spontaneously in laser-generated carbon vapors, extensive research has been devoted to finding ways of making these species in large amounts at high yield. Triggered 5 years later by the success of Kratschmer et al.² in producing the first milligram amounts by resistive heating of graphite in an atmosphere of helium, the manufacture of these new materials has now

become something of a cottage industry. Even with subsequent improvements using carbon arcs, production of fullerenes remains a highly expensive process which has resisted all attempts to scale-up beyond several tens of grams per hour. This figure applies to the most abundant fullerenes, C_{60} and C_{70} . Higher fullerenes are produced by current techniques in very small amounts and they are even more expensive.

Buckminsterfullerene (C_{60}) has now been prepared in multigram quantities by contact arc vaporization of a graphite rod in a 100-Torr atmosphere of helium, followed by extraction of the resultant graphitic soot with toluene. The dominance of C_{60} in this extract has been verified by mass, FTIR and NMR Spectroscopy.^{3,4}

In the course of an extensive series of experiments aimed at increasing the production of both empty fullerenes and endohedrally doped metallofullerenes, Smalley and Chibante⁵ may have discovered the principal mechanism which has prevented efficient scaling of the carbon arc technique to large rod sizes, i.e., photochemical destruction of the fullerenes by light from the arc. After considering ways this problem can be overcome in a fashion that scales well to large rod sizes, they proposed that the best answer may also be the simplest: sunlight. A solar furnace has been successfully used for C₆₀ preparation.⁵

2. Metal Endohedral Fullerenes (Richard E. Smalley and Associates).

By operating the carbon arc in a temperature-controlled flowing environment, fullerenes remain in the gas phase and condense on cold surfaces downstream. By using metal-doped graphite electrodes in such a configuration, and by providing a filtering medium, metallofullerenes can be extracted from the non-sublimable soot. Deposition onto a retractable collection surface allows these materials to be transported from the apparatus without ever contacting air or moisture. By vacuum distilling away the empty fullerenes, one can produce metallofullerenes without the use of solvents while maintaining an inert environment. Characterization and utilization of these unique materials is now feasible.

Macroscopic quantities of fullerenes with a metal atom inside were first produced for lanthanum by laser vaporization of a La₃O₃/graphite composite rod in a high-temperature tube furnace.⁶ In the sublimed film, La@C₆₀, La@C₇₀, La@C₇₄, and La@C₈₂ were all present, while La@C₈₂ was found to be abundant and uniquely soluble in toluene. Many rare earth elements have been found to be readily trapped inside fullerenes with one or more atoms both by the laser vaporization/high temperature furnace technique and by the carbon arc technique.

Recently, the electronic structure of $Ca@C_{60}$ in the gas phase⁷ was probed by ultraviolet photoelectron spectroscopy (UPS) of $Ca@C_{60}$. The electron affinity of $Ca@C_{60}$ was found to be 3.0 eV. The spectrum suggests that Ca donates its two 4s electrons to the $C_{60}I_{1u}$ LUMO. Ab initio self-consistent field Hartree-Fock calculations. were performed on $Ca@C_{60}$ at four different symmetries, I_h , D_{3d} , D_{5d} , and C_{5v} . It was found that the C_{5v} symmetry has the lowest energy with the central Ca^{2+} ion 0.7 A away from the center of C_{60} and that $Ca@C_{60}$ has a 3A_2 triplet electronic ground state in C_{5v} symmetry.

3. <u>Electrochemical Syntheses of Superconducting Fulleride-Derived Materials</u> (Lon J. Wilson and Associates).

In November of 1990, we reported the first electrochemical reduction of C_{60} to the C_{60}^- and C_{60}^{2-} ions in solution.³ Subsequently, electrochemical reductions to the C_{60}^{3-} , C_{60}^{4-} , C_{60}^{5-} , and C_{60}^{6-} anion levels⁸⁻¹¹ were also reported. Shortly thereafter, this observed production of fulleride ions in solution by electrochemistry took on new importance with the discovery that K_3C_{60} (containing discrete C_{60}^{3-} ions) formed a metallic superconducting phase with $T_c = 18 \text{ K.}^{12}$ Our DARPA-sponsored research has explored the possibility of using electrochemistry to electrosynthesize and electrocrystallize superconducting salts of C_{60}^{3-} from solution. An electrosynthetic approach to such superconducting materials has potential advantage over chemical vapor deposition (CVD) methods in that pure, single-phase materials are often obtained by electrosynthetic methods and large single crystals also often result from electrocrystallization techniques. Our methods and initial results are documented below. A recent publication describing these results in more detail is also available.¹³

Electrochemistry of C₆₀

<u>C₆₀ reduction processes</u>. Electrochemical analysis of C₆₀ reveals the existence of at least eight oxidation states, (C₆₀ⁿ: n = 0, -1, -2, -3, -4, -5, -6, and +x, where x has yet to be definitely established) by use of cyclic voltammetry and differential pulse polography. The six known reductions of C₆₀ are all reversible by cyclic voltammetry at -10°C as shown in Figure 1. Historically, these six reduction processes for C₆₀ were uncovered literally "one electron at a time" with the two-,³ three-,^{8,9} four- and five-¹⁰ and finally six-electron¹¹ processes being reported.

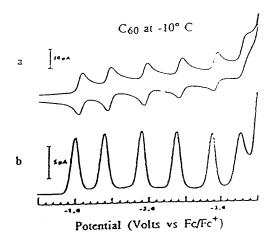


Figure 1. Reduction of C_{60} in CH₃CN/toluene at -10 °C using (a) cyclic voltammetry at a 100 mV/s scan rate and (b) differential pulse voltammetry (3 mm dia. glassy carbon working electrode, 50-mV pulse, 50-ms pulse width, 300-ms period, 25 mV/s scan rate). Reprinted with permission from Reference 4.

All six electrochemical reductions of Figure 1 are reversible at a scan rate of 100 mV s^{-1} in a CH₃CN/toluene solvent system at -10°C. Even more remarkable, the reductions occur at fairly evenly-spaced potentials (ca. Δ 200 mV). Initial M.O. calculations on C₆₀ predicted a triply-degenerate LUMO (lowest unoccupied molecular orbital) of t_{1u} symmetry, t_{10} and the observed electrochemistry of C₆₀ supports this view.

Other solvents such as THF or CH_2Cl_2 reveal less reversible electrochemical behavior, especially for the more negative reduction processes.⁸ Bulk electrolysis in benzonitrile verifies the first four reductions of C_{60} as one-electron transfers.^{10a} The C_{60} , C_{60} , and C_{60} fulleride ions remain stable in benzonitrile for up to several days, and neutral C_{60} is quantitatively recovered from these solutions after reoxidation. Epr spectroelectrochemical studies of these fulleride ions in frozen solution indicated S = 1/2 ground states for C_{60} and C_{60} and an S = 1 ground state for the C_{60} ion.¹⁴ C_{60} has so far only been produced by bulk electrolysis in either benzonitrile or pyridine using the wide reduction windows of these solvents, however, quantitative recovery of C_{60} was not achieved upon reoxidation of the C_{60} - solutions.¹⁵

Electrosynthesis of K₃C₆₀

The discovery of conditions under which all six reductions of C_{60} are reversible at slow scan rates indicated that the $C_{60}^- \to C_{60}^{6-}$ anions could be generated in bulk by controlled-potential electrolysis (CPE), 10 and we initiated such studies and selected K_3C_{60} as an initial target compound because of its superconducting properties. Previous syntheses of superconducting K_3C_{60} employed a chemical vapor deposition (CVD) approach, but we believe that an electrosynthetic method offers real advantages over CVD.

Specifically, we anticipated being able to prepare literally gram quantities of crystalline K_3C_{60} and hopefully to grow large single crystals from solution in order to directly measure electrical transport properties (e.g., current density) in single crystals. By comparison, a CVD synthetic approach offers less hope of obtaining large single crystals for such measurements. Finally, an electro-synthetic approach also offers the prospect of producing new materials such as K_nC_{60} (with n=1) and K_2C_{60} (with n=2), whereas these less-highly-reduced phases of C_{60} have remained elusive with high-temperature CVD syntheses.

After much trial and error, we settled on a solvent/electrolyte system of CH₃CN/KPF₆ for our electrosynthesis of K₃C₆₀. A flow diagram of the synthesis is shown in the Figure 2 where the potential is held at -1.6 V (vs. Ag/AgCl) during the electrolysis at 40 cm² Pt gauze working electrode. The advantage offered by the CH₃CN/KPF₆ system is that only the K₃C₆₀ product crystallized from the bulk solution, leaving the supporting electrolyte (KPF₆) in solution as a clean method of separating K₃C₆₀ from KPF₆. Finally, washing the solid K₃C₆₀ with toluene removed any unreduced C₆₀ from the product. These preliminary results indicate that an electrosynthetic approach to fulleride-based materials should be a general success. Electrocrystallization

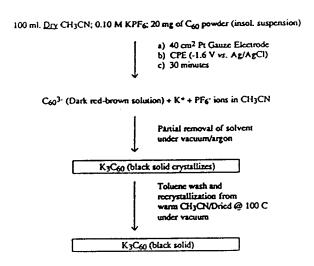


Figure 2. Flow Scheme for the Electrosynthesis of K_3C_{60} from C_{60} .

attempts are continuing for K_3C_{60} and other C_{60}^{3-} materials, and when large single crystals become available, their superconducting properties will be characterized and compared to the crystalline phases obtained by CVD methods. We hope to have such crystals soon.

4. Birch, Reduction of C₆₀ and C₇₀ (W.E. Billups and Associates).

The isolation of buckminsterfullerenes C_{60} and $C_{70}^{1,16}$ in bulk quantities^{2,5,16} has led to a flurry of activity directed at the chemical modification of these new materials. The objective of this research was the development of a process for the production of fullerene hydrides to be used as hydrogen storage materials. In principle, it should be possible to store 30 moles of dihydrogen per mole of C_{60} . However, $C_{60}H_{60}$ is a highly energetic compound and it has not been possible to prepare this fully reduced material using conventional chemistry.

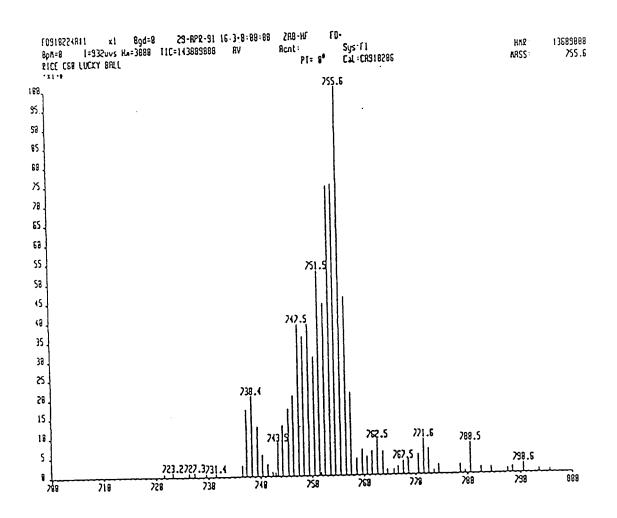
In this study, we have investigated the Birch reduction of C_{60} as a route to new fullerene hydrides. The major product formed in the Birch reduction of C_{60} is a species in which 36 hydrogen atoms are bound chemically to the carbon skeleton. Although mass spectral analyses are inconclusive with regard to other hydrides that are formed during the Birch reduction, there is evidence for $C_{60}H_{18}$ as well as $C_{60}H_{28}$ and $C_{60}H_{32}$. The mass spectrum recorded under FD conditions is shown as Figure 3. The peak at m/e 755.6 corresponds to $C_{60}H_{36}$.

A mechanism which would account for the formation of C₆₀H₃₆ is shown in the scheme presented below.

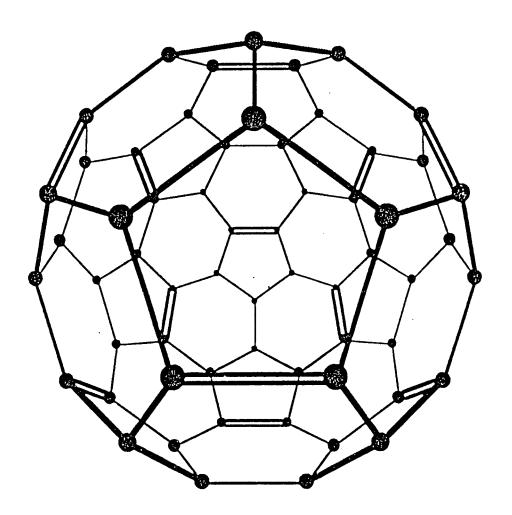
It is interesting that 36 is the number of hydrogen atoms required to leave a single, non-conjugated double bond in each of the 12 cyclopentane subunits of C_{60} . A possible structure of this product is presented as Figure 4.

Figure 3
FDMS of the Birch Reduction of 99% C₆₀

calibration shifted -0.5 amu, $C_{60}H_{36} = 756$ amu



 $\label{eq:Figure 4} Figure \, 4$ Structure of $C_{60}H_{36}$ from the Birch Reduction of C_{60}



Treatment of a toluene solution of the Birch-reduced product with dichlorodicyanoquinone (DDQ) led to the rapid formation of the starting fullerene C_{60} . Thus, the reduction of C_{60} is fully reversible. This facile activation of the C-H bonds of $C_{60}H_{36}$ is consistent with theoretical calculations dealing with the fullerene hydrides. Li/t-BuOH/NH₃

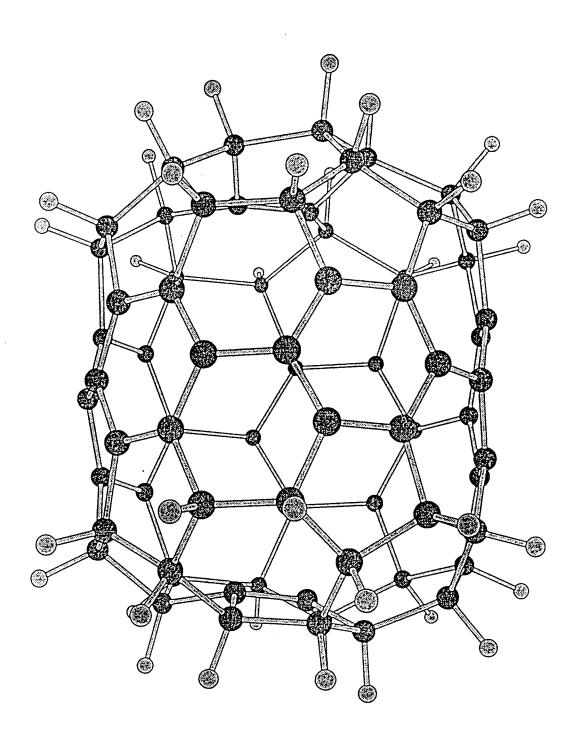
Due to the limited availability of C₇₀, the chemistry of this fullerene has been somewhat less developed; however, we have isolated enough C₇₀ (95% purity by HPLC) to demonstrate that the Birch reduction of C₇₀ using Li metal in liquid ammonia and t-butyl alcohol yields a nearly white solid which also darkens irreversibly to a beige colored material upon exposure to the atmosphere. Analysis of this material by FDMS showed that the major product was C₇₀H₃₆, in strict analogy to the Birch reduction of C₆₀ under the same reaction conditions. Since both fullerenes contain 12 cyclopentanoid rings it seems reasonable that a similar mechanism accounts for both results. Treatment of a benzene solution of the reduced fullerene with DDQ for 5 hr at room temperature afforded a quantitative yield of the starting fullerene, as monitored by HPLC. Thus the reduction of both fullerenes appears to be fully reversible.

$$C_{70} \xrightarrow{\text{Li/t-BuOH/NH}_3} C_{70} H_{36}$$

Calculations by Dr. Gus Scuseria suggest that the structure presented as Figure 5 may depict the most stable form of C₇₀H₃₆.

If the dihydrogen (18 moles per mole of C_{60}) contained in these Birch products could be removed both easily and reversibly, then these Birch products might provide a method to safely store hydrogen as a fuel source. It has been demonstrated that the Birch products of C_{60} and C_{70} may be removed by oxidation with DDQ, but this type of reaction would be impractical for a fuel storage device. One of the first observations made about the Birch products of the fullerenes is their relative instability, as evidenced by the rapid color change from white to beige to yellow upon exposure to air or heat. Therefore, it was decided to investigate the thermal behavior of the Birch product of C_{60} by thermo-gravimetric analysis (TGA) to determine the decomposition pattern of the product.

 $\label{eq:Figure 5} Figure \ 5$ Structure of $C_{70}H_{36}$ from the Birch Reduction of C_{70}



The constraints of time have prevented detailed studies of the thermal behavior of these materials. However, some preliminary investigations using thermo-gravimetric analysis of Birch reduced C₆₀ from 25-800 °C at 5 °C/min under an argon blanket showed a 7% weight loss from 25-110 °C, a 6% weight loss from 120-260 °C, and finally a 43% weight loss from 300-750 °C. The weight loss of 7% from 25-110 °C is greater than would be expected for a simple loss of dihydrogen. If only hydrogen had been removed from the Birch product, the weight loss would have been 5%. This product had been dried in vacuo for 24 h, and TGA of pure C₆₀ dried in this manner verified that the technique was effective for removing detectable residual solvents from the sample. Since the TGA instrument employed was not equipped for the analysis of outgases (gaseous decomposition products), this study is still inconclusive and the determination of the products produced during this process will be a long tedious task.

The characterization of these fullerene hydrides has presented a major obstacle during this investigation. As indicated above, FDMS shows a range of peaks with the major one at the position expected for $C_{60}H_{36}$. At the moment, we not able to determine whether these peaks correspond to discrete compounds or whether they are fragment peaks. We believe that some of the problems associated with characterization of these materials can be solved using ${}^{3}\text{He}@C_{60}.^{17,18,19}$

5. Adducts of C₆₀

(a) Diels-Alder Reaction (J. L. Margrave, V. N. Khabashesku and R. H. Hauge)

We have studied the Diels-Alder [4+2] cycloaddition reaction of tetraphenylcyclopentadienone (TPC) to fullerene C_{60} with the aim of getting a new hard carbon material, which might be accessed by further cross-linking polymerization of the product 1, obtained via thermal or photochemical elimination of CO molecule from [C_{60} ·TPC] adduct. The reaction is shown as follows:

To date we have succeeded in isolation of milligram quantities of the C_{60} -TPC adduct by liquid chromatography with spectroscopic characterization of this new compound by $^{13}\mathrm{C}$ NMR (Fig.6), UV-Vis (Fig. 7), IR (Fig. 8) and FAB MS (m/z 1104; M⁺) techniques. A similar synthesis of the [4+2] adduct of isobenzofuran to fullerene C_{60} was published by F. Wudl and coworkers. 20

Large scale synthesis of the $[C_{60}]$ TPC] adduct as well as studies of [4+2] cycloaddition of C_{60} to a-pyrone are now in progress. Our thin film deposition studies have shown some molecular hydrogen adsorption by neat C_{60} and nickel doped C_{60} films. To understand the mechanism of this process, which may be caused by initial molecular hydrogen complexation or a bond insertion hydrogenation of C_{60} metal complexes, matrix isolation spectroscopic studies are now under way.

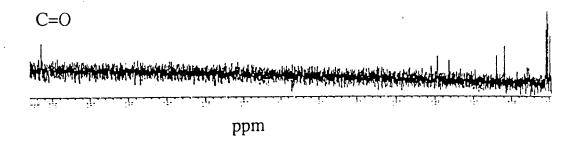


Figure 6. 13 C NMR spectrum of 13 C adduct in 13 C 13 C NMR spectrum of 13 C 1

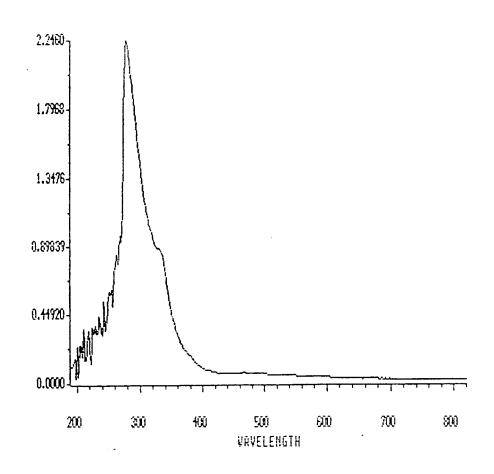


Figure 7. UV-vis spectrum of C₆₀·TPC adduct in benzene solution (solvent is subtracted).

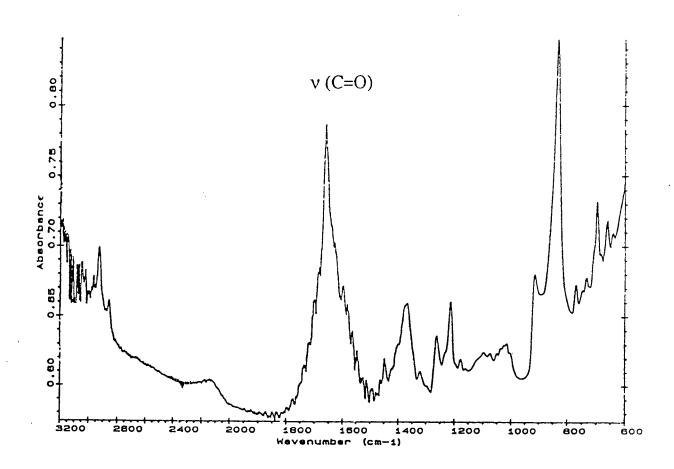
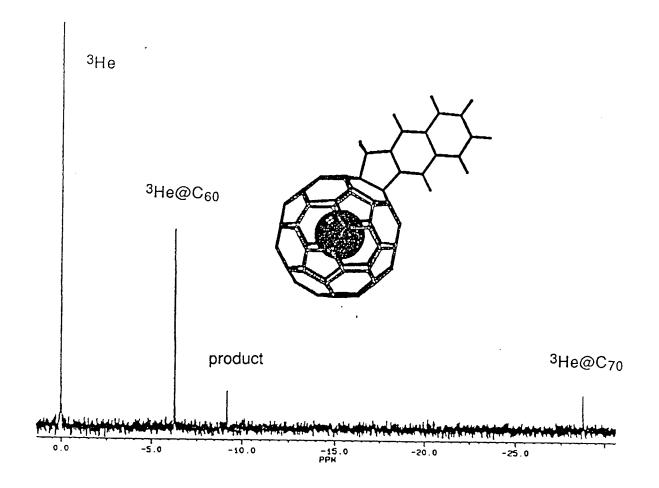


Figure 8. IR spectrum of C_{60} ·TPC adduct pressed into KBr pellet.

5. Adducts of He@C₆₀ (W.E. Billups and Associates).

The recent discovery that ³He can be introduced into the fullerenes C₆₀ and C₇₀ by heating at 620°C with the helium at high pressure represents an important advance in the characterization of fullerene derivatives since the ³He NMR spectrum of each product should yield a single sharp peak and no non-fullerene products or impurities would give signals. ¹⁷⁻¹⁹ The feasibility of using this technique was demonstrated by carrying out a simple reaction in which a diradical was condensed with ³He@C₆₀ (see Figure 9). We are currently carrying out the Birch reductions using ³He@C₆₀. Examination of the ³He NMR spectrum of our products should provide a good indication of the number of isomers produced in this reactions.

$^3\mathrm{He}$ NMR spectrum of the Reaction Product of C60 and Cyclopropanaphthalene



6. Reactions of C₆₀-Thin Films with Hydrogen and with Nitrogen

Our thin film deposition studies have shown some molecular hydrogen adsorption by neat C₆₀ and nickel doped C₆₀ films at low temperatures. This process may be caused by initial molecular hydrogen complexation or a bond insertion hydrogenation of C₆₀ metal complexes.

Both empirical and ab initio calculations ²¹ have shown that covalent carbon-nitrogen solids such as b-C₃N₄ may possess hardness comparable to diamond. Such predictions provide a strong reason for experimental efforts ²²⁻²⁴ to synthesize this superhard material. Such a material offers exciting prospects for new hard coating applications. Recently, C. Niu et al. ²⁵ reported the synthesis of hard C-N films, containing up to 40 percent nitrogen. These films were prepared by laser ablation of graphite targets to produce carbon beams which were combined with atomic nitrogen from a radio frequency discharge source. The films were grown at substrate temperatures up to 800° C.

The goal of this work was to explore the possibility of growing hard carbon-nitrogen thin films at cryogenic temperatures. As a carbon source we have chosen the fullerene C_{60} , which can be vaporized by simple resistive heating at much lower temperatures than graphite. The films were prepared by co-deposition of a mixture of about 90% C_{60} and 10% C_{70} with highly energetic nitrogen beams, created in a Kauffman ion source. Both beams were directed at a Si wafer which is held at various temperatures from 300 down to 18 K. The nitrogen source was located inside a vacuum chamber such that the distance between the nozzle of beam focusing cone and the substrate was about 10-15 mm. Samples were deposited at a variety of nitrogen flux energies (from 300 to 700 V) and controlled C_{60} deposition rates (from about 500 to 1200 Å/hour). The deposits were studied by FTIR and Raman spectroscopy.

With the nitrogen beam present no C_{60} features remained in the spectra of all deposited films. This demonstrated complete chemical transformation of the fullerenes.

Formation of graphite was found to be the main process occurring at high C_{60} deposition rates (> 1000 Å/hour). At lower C_{60} deposition rates (~ 500 -600 Å/hour) we observed an increase of the amorphous carbon/graphite ratio as indicated by relative peak intensities in the Raman spectra. The nitrogen beam voltage was varied from 300 to 700 V and substrate temperature from 300 K (Fig. 10a down to 80 K (Fig. 10b).

Transformation of the C_{60} into a new nongraphitic material was observed at substrate deposition temperatures of 18-20 K. The Raman spectrum of this sample (Fig. 10c) did not show characteristic bands of C_{60} or those for amorphous carbon and graphite. The FTIR spectrum of the film deposited under the same conditions showed several new bands. None of those bands belong to the C_{60}/C_{70} precursor. Two of them, at 1030 and 1270 cm⁻¹, were located in 1000-1280 cm⁻¹ C-N stretching vibrations range.

The above results demonstrate that energetic nitrogen atoms at cryogenic temperatures physically destroy fullerene molecules to form graphite or amorphous carbon at temperatures where molecular nitrogen does not condense. At lower temperatures (<30 K) where molecular nitrogen forms a film complete reaction of the deposited fullerene with nitrogen is observed. This strongly suggests that hard carbon films can be obtained by controlling the nitrogen deposition and reaction rate by simply controlling the substrate temperature. The use of high purity fullerene carbon beam sources, free of hydrogen impurities which are often found in graphite samples, along with a high purity nitrogen sources in a UHV system should be an excellent route to highly pure carbon-nitrogen films of variable stoichiometry with high nitrogen contents.

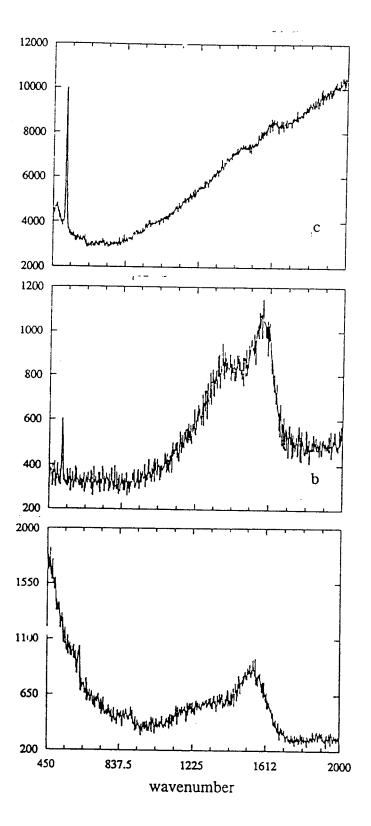


Figure 10. Raman spectra of carbon films formed by co-deposition of C₆₀ (vaporized at 470° C) with high-energy nitrogen flux (beam voltage 700 V) on Si substrate at temperatures a - 300 K, b - 80 K, c - 18 K.

7. C₆₀ as a Lubricant (John L. Margrave and Associates)

In collaborative studies with one of the Houston Petrochemical Research Laboratories, we have evaluated C_{60} as an additive to various motor oils, mineral oils and thermally stable organics but there has been no indication of enhanced lubricity. Among the negative factors observed were:

- (1) Low solubility of C₆₀
- (2) High vapor pressure of C₆₀
- (3) Ease of oxidation of C_{60}

There does not appear to be any special advantage in using C_{60} as a lubricant additive for standard motor oils.

8. <u>Sublimation Pressures of a C₆₀/C₇₀ Solid Solution</u> (John L. Margrave and Associates).

The transpiration method is one of the oldest and most versatile ways of studying heterogeneous equilibria involving solids and gases. In the transpiration experiment, an inert gas, a carrier, is passed over a condensed sample at a flow rate sufficiently low for equilibrium conditions to be established. The vapor of the sample is transported by the carrier gas to some point downstream from the sample and is collected and analyzed to determine the vapor pressures of components in the sample.

The quartz crystal microbalance (QCM) has also proved to be a useful tool in conjunction with the Langmuir free evaporation technique for measuring the vapor pressures of solids and liquids. Its high mass sensitivity allows the measurement of vapor pressures several decades lower than other methods. By employing a Knudsen effusion cell, this technique can be used with elimination of ambiguities relating to evaporation coefficients. Two major requirements have to be met: (1) the sticking coefficient of the vapor on the QCM must be near unity, and (2) the condensation of impurities from the sample container and the heating device must be negligible. A molecular beam, characteristic of the equilibrium vapors in the Knudsen cell, is formed by the species effusing from the cell orifice. This molecular beam can then be analyzed either with a mass spectrometer or with a deposition monitor (e.g., QCM).

The sublimation pressures in equilibrium with a polycrystalline C_{60}/C_{70} solid solution have been measured with a quartz crystal microbalance (QCM) and by transpiration methods, in the temperature ranges 772-857 and 806-929 K, respectively.²⁷ The results from the two independent methods show good agreement. The solid solution was

found to have a total vapor pressure of 8.1×10^{-4} Torr at 800 K. It is estimated that the total vapor pressure of the C_{60}/C_{70} solid solution could reach 1 atm at ca. 1523 K. The analyses of the compositions of C_{60} and C_{70} in the solid and vapor phases also reveal that C_{60} is more volatile than C_{70} .

REFERENCES

- 1. "C₆₀ Buckminsterfullerene," Kroto, H.W.; Heath, J.R.; O'Brien; S.C.; Curl, R.F.; Smalley, R.E. *Nature*, **1985**, 318, 162.
- 2. "Solid C₆₀, a New Form of Carbon," W. Kratschmer, L.D. Lam, K. Fostiropoulos and D.R. Huffman, *Nature* **1990**, 347, 354.
- 3. "Efficient Production of C₆₀ (Buckminsterfullerene), C₆₀H₃₆, and the Solvated Buckide Ion," Haufler, R.E.; Conceicao, J.; Chai, Y.; Chibante, L.P.F.; Byrne, N.E.; Flanagan, S.; Haley, M.M.; O'Brien, S.C.; Pan, C.; Xiao, Z.; Billups, W.E.; Ciufolini, M.A.; Hauge, R.H.; Margrave, J.L.; Wilson, L.J.; Curl, R.F.; Smalley, R.E., J. Phys. Chem. 1990, 94, 8634.
- 4. "Electric Arc Process for Making Fullerenes," R. E. Smalley and Robert E. Haufler, U.S. Patent No. 5,227,038 (1993).
- 5. "Solar Generation of the Fullerenes," L.P.F. Chibante, Andreas Thess, J.M. Alford, M.A. Diener, and R. E. Smalley, *J. Phys. Chem.* 97 8696 (1993).
- 6. "Process for Making Fullerenes with Metal Inside." R. E. Smalley, *U.S. Patent* No. 5,300,203 (Issued April 5, 1994).
- 7. "The Electronic Structure of Ca@C₆₀," Lai-Sheng Wang, J. Michael Alford, Yan Chai, Michael Diener, Steven M. McClure, Jie Zhang, Ting Guo, G. E. Scuseria, and R. E. Smalley, *Chem. Phys. Lett.*, **207** (#4, 5, 6) 354 (**1993**).
- 8. "Two Different Fullerenes have the Same Cycle Voltammetry," Allemand, P.-M.; Kock, A.; Wudl, F.; Rubin, Y.; Diederich, F.; Alvarez, M.M.; Anz, S.J.; Whetten, R.L., J. Am. Chem. Soc. 1991, 113, 1051.
- (a) "Characterization of C₆₀ and C₇₀ Clusters," Cox, D.M.; Behal, S.; Disko, M.; Gorun, S.M.; Greaney, M.; Hus, C.S.; Kollin, E.B.; Millar, J.; Robbins, J.; Robbins, W.; Sherwood, R.D.; Tindall, P. J. Am. Chem. Soc. 1991, 113, 2940.
 (b) "Carbon Solvates: Redox Properties and Single Crystal X-ray Structure," Gorun, S.M.; Greaney, M.A.; Cox, D.M.; Sherwood, R.; Day, C.; Day, V.; Upton, R., Proc. Mater. Res. Soc., Boston 1991, 206, 659.
- (a) "Spectrochemical Study of the C₆₀ and C₇₀ Fullerenes and their Mono-, Di-, Tri-and Tetraanions," Dubois, D.; Kadish, K.M.; Flanagan, S.; Haufler, R.E.; Chibante, L.P.F.; Wilson, L. J., J. Am. Chem. Soc. 1991, 113, 4364.
 - (b) "Electrochemical Reduction and Oxidation of C₆₀ Films," Jehoulet, C.; Bard, A. J.; Wudl, F., J. Am. Chem. Soc. **1991**, 5456. (c) "Electrochemical Detection of

- Fulleronium and Highly-Reduced Fulleride (C₆₀⁵-) Ions in Solution, Dubois, D.; Kadish, K.M.; Flanagan, S.; Wilson, L.J., (J. Am. Chem. Soc. 1991, 113, 7773.
- 11. "Electrochemical Detection of C₆₀⁶- and C₇₀⁶-: Enhanced Stability of Fullerides in Solutions," Xie, Q.; Perez-Cordero, E.; Echegoyen, L., *J. Am. Chem. Soc.* **1992**, 114, 3978.
- 12. "Superconductivity of 18 K in Potassium-Doped C₆₀," Hebard, A.F.; Rosseinsky, J.J.; Haddon, R.C.; Murphy, D.W.; Glarum, S.H.; Plastra, T.T.M.; Ramirez, A.P.; Kortan, A.R., *Nature* **1991**, 350, 600.
- 13. "Electrochemical Studies and Syntheses of Fulleride-Derived Materials," Wilson, L.; Flanagan, S.; Khabashesku, V.; Alford, M.; Chibante, F.; Diener, M.; Fargason, C.; Roche, E., *Applied Superconductivity*, Pergamon Press Ltd, **1993**, Vol. 1, 913.
- 14. "Electronic Structure and Bonding in Icosahedral C₆₀," Haddon, R.C.; Brus, L.E.; Raghavachari, K., Chem. Phys. Lett. **1986**, 125, 459.
- (a) "Electroreduction of Buckminsterfullerene, C₆₀, in Aprotic Solvents: Solvent, Supporting Electrolyte, and Temperature Effects," Dubois, D.; Moninot, G.; Kutner, W.; Jones, M.T.; Kadish, K.M., J. Phys. Chem. 1992, 96, 7137;
 (b) "Electroreduction of Buckminsterfullerene, C₆₀, in Aprotic Solvents: Electron Spin Resonance Characterization of Singly, Doubly, and Triply Reduced C₆₀ in Frozen Solutions,"Dubois, D.; Jones, M.T.; Kadish, K.M., J. Am. Chem. Soc. 1992, 114, 6446.
- 16. <u>Buckminsterfullerenes</u> (Eds. W.E. Billups, M.A. Ciufolini), VCH Publishers, New York, **1993.**
- 17. "Incorporation of Helium, Neon, Argon, Krypton and Xenon into Fullerenes Using High Pressures," M.A. Saunders, H.A. Jimenez-Vasquez, R.J. Cross, S. Mroczkowski, D.E. Giblin, and R.J. Poreda, J. Am. Chem. Soc. 1994, 116, 2193. Earlier work has shown that a small amount of ³He@C₆₀ is formed during the synthesis of the fullerene.
- 18. "Stable Compounds of Helium and Neon: He@C₆₀ and Ne@C₆₀," M.A. Saunders, H.A. Jimenez-Vasquez, R.J. Cross, R.J. Poreda, *Science* **1993**, 259, 1428.
- 19. "Probing the Interior of Fullerenes by ³He NMR of ³He@C₆₀ and ³HE@C₇₀," M.A. Saunders, H.A. Jimenez-Vasquez, R.J. Cross, S. Mroczkowski, D.I. Freedberg, F.A.L. Anet, *Nature*, **1994** 367, 256.
- 20. "[3+2] and [4+2] Cycloadditions of C₆₀," F. Wudl, et. al., *J. Am. Chem. Soc.* **1993**, 115, 1595.

- 21. "Prediction of New Low Compressibility Solids," A. Y. Liu and M. L. Cohen. Science. 1989, 245, 841.
- 22. "Structural and Optical Properties of Amorphous Carbon Nitride," H.-X. Han and B. J. Feldman. Solid State Commun. 1988, 65, 921.
- "Carbon-Nitrogen Pyrolzates: Attempted Preparation of Carbon Nitride,"L. Maya, D.
 R. Cole, E. W. Hagaman. J. Am. Ceram. Soc. 1991,74, 1686.
- 24. "Chemical Preparation and Shock Wave Compression of Carbon Nitride Precursors,"M. R. Wixom . ibid. 1990, 73, 1973.
- 25. "Experimental Realization of the Covalent Solid Carbon Nitride," C. Niu, Y. Z. Lu,C. M. Lieber. Science. 1993, 261, 334.
- 26. H. Kauffman, Fundamentals of Ion-Source Operation, Commonwealth Scientific Corporation, Alexandria, Virginia, 1984.
- 27. "Determination of Sublimation Pressures of a C₆₀/C₇₀ Solid Solution," C. Pan, D. Agan, M.S. Chandrasekharaiah and J.L. Margrave, *J. Phys. Chem.* **1992**, 96,6752.

SELECTED PUBLICATIONS FROM PRINCIPAL INVESTIGATORS

W. E. BILLUPS

"Buckminsterfullerenes," W. Edward Billups and Marco A. Ciufolini, Editors, VCH Publishers, NY, 1993.

"Reaction of Cyclopropa{b}naphthalene with 3 He@C₆₀, "M. A. Saunders, H. A. Jiminez-Vasquez, W. E. Billups, C. Gesenberg, and D. McCord, *Tetrahedron Letters*, **1994**, 35, 3869.

The ³He MNR Chemical Shift of Higher Fullerenes," M. A. Saunders, H. A. Jiminez-Vasquez, W. E. Billups, C. Gesenberg, and Alex Gonzalez (in preparation).

"Efficient Production of C₆₀ (Buckminsterfullerene), C₆₀H₃₆, and the Solvated Buckide Ion. "Haufler, R. E.; Conceicao, J.; Chai, J.; Chibante, L.P.F.; Byrne, N. E. Flanagan, S.; Haley, M.M.; O'Brien, S.C.; Pan, C.; Xiao, Z.; Billups, W.E.; Ciufolini, M.A.; Hauge, R.H.; Margrave, J.L.; Wilson, L.J.; Curl, R.F., Smalley, R.E., *J. Phys. Chem.* **1990**, 94, 8634.

MARCO A. CIUFOLINI

Buckminsterfullerenes; Billups, W.E.; Ciufolini, M.A., Eds.; VCH Publishers: New York, NY 1993.

"The Organic Chemistry of Buckminsterfullerene and Congeners: Science for the Nineties." Ciufolini, M. A. Gendai Kagaku (Chemistry Today) 1992, 253 (4), 12.

"Efficient Production of C₆₀ (Buckminsterfullerene), C₆₀H₃₆, and the Solvated Buckide Ion. "Haufler, R. E.; Conceicao, J.; Chai, J.; Chibante, L.P.F.; Byrne, N. E. Flanagan, S.; Haley, M.M.; O'Brien, S.C.; Pan, C.; Xiao, Z.; Billups, W.E.; Ciufolini, M.A.;

Hauge, R.H.; Margrave, J.L.; Wilson, L.J.; Curl, R.F., Smalley, R.E., J. Phys. Chem. 1990, 94, 8634.

ROBERT H. HAUGE

"Efficient Production of C₆₀ (Buckminsterfullerene), C₆₀H₃₆, and the Solvated Buckide Ion," R.E. Haufler, J. Conceicao, L.P.F. Chibante, Y. Chai, N.E. Byrne, S. Flanagan, M.M. Haley, S.C. O'Brien, C. Pan, Z. Xiao, W. E. Billups, M.A. Ciufolini, R.H. Hauge, J.L. Margrave, L.J. Wilson, R.F. Curl, and R. E. Smalley, *J. Phys. Chem.* 94 8634 (1990).

"Determination of Sublimation Pressures of C₆₀/C₇₀ Solid Solution," C. Pan, M.S. Chandrasekharaiah, D. Agan, R. H. Hauge, and J.L. Margrave, *J. Phys. Chem.* **96**, 6752 (1992).

JOHN L. MARGRAVE

"Efficient Production of C₆₀ (Buckminsterfullerene), C₆₀H₃₆, and the Solvated Buckide Ion," R.E. Haufler, J. Conceicao, L.P.F. Chibante, Y. Chai, N.E. Byrne, S. Flanagan, M.M. Haley, S.C. O'Brien, C. Pan, Z. Xiao, W. E. Billups, M.A. Ciufolini, R.H. Hauge, J.L. Margrave, L.J. Wilson, R.F. Curl, and R. E. Smalley, *J. Phys. Chem.* 94 8634 (1990).

"Materials Opportunities for Using C₆₀, C₇₀ and Related Solids," J. L. Margrave, Proc. Defense Sciences Research Council Summer Conference, July (1991), La Jolla, California.

"Determination of Sublimation Pressuresof C₆₀/C₇₀ Solid Solution," C. Pan, M.S. Chandrasekharaiah, D. Agan, R. H. Hauge, and J.L. Margrave, *J. Phys. Chem.* **96**, 6752 (**1992**).

"C₆₀, C₇₀, and other Fullerenes: A New Class of Materials Yields Versatile Organic Superconductors," J. L. Margrave, *App. Supercond.* 1 7-9, pp. 867-868, (1993).

R. E. SMALLEY

"The Electronic Structure of Ca@C₆₀," Lai-Sheng Wang, J. Michael Alford, Yan Chai, Michael Diener, Steven M. McClure, Jie Zhang, Ting Guo, G. E. Scuseria, and R. E. Smalley, *Chem. Phys. Lett.*, **207**, (#4, 5, 6) 354-359 (**1993**).

"Solar Generation of the Fullerenes," L.P.F. Chibante, Andreas Thess, J.M. Alford, M.A. Diener, and R. E. Smalley, *J. Phys. Chem.*, **97**, 8696-8700 (**1993**).

"Electric Arc Process for Making Fullerenes," R. E. Smalley, and Robert E. Haufler, United States Patent #5,227,038, (1993).

"C₆₀ Matrix-Isolated in Xe: Plasmon Shifts and Polarization Effects," T.R. Ohno, C. Gu, J. H. Weaver, L.P.F. Chibante, and R. E. Smalley, *Surf. Sci. Rep Ltrs.*, **294**, L9640L968, (**1993**).

"Photoelectron Spectroscopy of Transition Metal Clusters: Correlation of Valence Electronic Structure to Reactivity," R. T. Laaksonen, J. Conceicao, L.S. Wang, T. Guo, P. Nordlander, and R. E. Smalley, In Press, *Physical Review B*, (1994).

"Process for Making Fullerenes with Metal Inside," R. E. Smalley, *United States Patent* #5,300,203. (Issued April 5, 1994).

In addition Professor Smalley has a number of other publications concerned with Fullerenes:

"The Third Form of Carbon," R. E. Smalley, Naval Research Reviews, XLIII 3-14 (1992).

"XPS Probes of Carbon-Caged Metals," John H. Weaver, Yan Chai, G.H. Kroll, C. Jin, T.R. Ohno, R.E. Haufler, T. Guo, J.M. Alford, J. Conceicao, L.P.F. Chibante, A. Jain, G. Palmer, and R. E. Smalley, *Chem. Phys. Lett.* 190, 460 (1992).

"Metallic and Insulating Phases of Li_xC₆₀, Na_xC₆₀, and Rb_xC₆₀," C. Gu, F. Stepniak, D. M. Poirier, M.B. Jost, P.J. Benning, Y. Chen, T.R. Ohno, Jose Luis Martins, J.H. Weaver, J. Fure and R. E. Smalley, *Phys. Rev. B. RapidCommun* 45 7348 (1992).

"Doping of C₆₀ with Iodine," T. R. Ohno, G. H. Kroll, J. H. Weaver, L.P.F. Chibante, and R. E. Smalley, *Nature*, **355** 401 (**1992**).

"Electronic structure of K_xC_{60} " in the Gas Phase," Lai-Sheng Wang, O. Cheshnovsky, J. P. Carpenter, S. J. Hwu, and R. E. Smalley, *J. Chem. Phys.*. **96** 4028 (**1992**).

"Adsorption of Individual C₆₀ Molecules on Si (111)," Y.Z. Li, M. Chander, J.C. Patrin, J. H. Weaver, L.P.F. Chibante, and R. E. Smalley, *Phys. Rev B* 15 Rapid Comm., 45, 13837 (1992).

"Yb and Yb-K Fulleride Formation, Bonding, and Electrical Character," T.R. Ohno, G.H. Kroll, J. H. Weaver, L.P.F. Chibante, and R. E. Smalley, *Phys. Rev. B* 46, 10437 (1992).

"Photoelectron Spectroscopy and Electronic Structure of Ca@C₆₀," Lai-Sheng Wang, J. M. Alford, Y. Chai, M. Diener, and R. E. Smalley, *Z Phys. D - Atoms, Molecules and Clusters*, (1992), in press.

"Fullerene Nanowires," Changming Jin, Ting Guo, Yan Chai, Ade Lee, and R. E. Smalley, in <u>Proc. of 1st Italian Fullerene Workshop on Fullerenes: Status and Perspectives</u>, C. Taliani, G. Ruani, and R. Zamboni, editors (World Scientific, Singapore, **1992**), pp. 21-30.

"Fullerides of Alkaline Earth Metals," Y. Chen, F. Stepniak, J. H. Weaver, L.P.F. Chibante, and R. E. Smalley, *Phys. Rev. B-15 Rapid Commun*, **45** 8845 (**1992**).

"Uranium Stabilization of C₂₈: A Tetravalent Fullerene," Ting Guo, M.A. Diener, Yan Chai, J. M. Alford, R. E. Haufler, S. M. McClure, T. Ohno, J. H. Weaver, G. E. Scuseria, and R. E. Smalley, *Science* **257**, 1661 (**1992**).

"Electronic Properties of Hydrogen-Bonded Fullerenes and Potassium Fullerides," T. R. Ohno, C. Gu, J. H. Weaver, L.P.F. Chibante, and R. E. Smalley, *Phys. Rev. B-15*, 47 20, 13848 (1993).

"Electronic Structure of Ca_xC₆₀ Fullerides," Y. Chen, D. M. Poirier, M.B. Jost, C. Gu, T.R. Ohno, J.L. Martins, J.H. Weaver, L.P.F. Chibante, and R. E. Smalley, *Phys. Rev. B Rapid Commun.*, **46**, 7961 (**1992**).

"Metal Overlayer Formation on C₆₀: Dependence on Metal-C₆₀ Bonding," T.R. Ohno, Y. Chen., S.E. Harvey, G.H. Kroll, P.J. Benning, J.H. Weaver, L.P.F. Chibante, and R. E. Smalley, *Phys. Rev. B* 47, 2389 (1993).

"Real-Space Imaging of Ca_xC₆₀ Using Scanning Tunneling Microscopy," Y.Z. Li, J.C. Patrin, M. Chandler, J.H. Weaver, L.P.F. Chibante and R. E. Smalley, *Phys. Rev. B Rapid Commun.*, 46 12914 (1992).

"On the Energetics of Tubular Fullerenes," A. A. Lucas, P.H. Lambin, and R. E. Smalley, *Journal of Physical Chemistry Solids* 54, 587 (1993).

"Fullerene Nanotubes, Fibers, and Wires," R. E. Smalley, Proceedings of Robert A. Welch Conference on Chemical Research XXXVI, 161 (1992).

"From Dopyballs to Nanowires," R. E. Smalley, *Materials Science and Engineering B.*, 19/1-2, 1-7 (1993).

"X-ray Photoemission Investigations of Binary and Ternary C₆₀ Fullerides of Na, K, Rb, and Cs," D. M. Poirier, T. R. Ohno. G. H. Kroll, P. J. Benning, F. Stepniak, J. H. Weaver, L.P.F. Chibante and R. E. Smalley, *Phys. Rev. B-15* 47, 9870 (1993).

"The Third Form of Carbon," L.P.F. Chibante, R. E. Smalley, *On Clusters and Clustering, From Atoms to Fractals.* P. J. Reynolds, editor (North Holland, Amsterdam, 1993) pp. 99-115.

"Electronic Structure of the Hollow-cage M₈X₁₂ Clusters," L. Lou, T. Guo, R. E. Smalley, and Peter Nordlander, *Journal of Chemical Physics*, **99**(7), 5301 (**1993**).

"Ab Initio Theoretical Predictions of C₂₈, C₂₈H₄, C₂₈F₄, (Ti@C₂₈)H₄, and M@C₂₈ (M = Mg, Al, Si, S, Ca, Sc, Ti, Ge, Zr, and Sn)" T. Guo, R. E. Smalley and G. E. Scuseria, *Journal of Chemical Physics*, 99, 352 (1993).

"C₆₀ and C₇₀ Fullerenes and Potassium Fullerides," P. J. Benning, D.J. Poirier, T.R. Ohno, Y. Chen, M.S. Jost, F. Stepniak, G. H. Kroll, and J.H. Weaver, J. Fure and R. E. Smalley, *Physical Review B* **45**, 6899 (**1992**).

"Electronic Properties of K-doped C₆₀ (111): Potassium Fullerides," P. J. Benning, F. Stepniak, D. M. Poirier, J. L. Martins, J. H. Weaver, L.P.F Chibante, and R. E. Smalley, *Physical Review B* 47 20, 13843 (1993).

"Search for Extractable Fullerenes in Clays from K/T Boundaries of New Zealand," D. Heymann, W. S. Wolbach, L.P.F. Chibante, R. R. Brooks and R. E. Smalley, *Geochemical Acta*, Vol 58, No. 16, pp. 3531-3534, (1994)

"Magnetic Susceptibility of Molecular Carbon - Nanotubes and Fullerite," A. P. Ramirez, R. C. Haddon, O. Zhou, R. M. Fleming, D. W. Murphy, Jie Zhang, S. M. McClure, and R. E. Smalley, *Science* **265**, 84 **(1994)**.

"Fullerenes in the Cretaceous-Tertiary Boundary Layer," Dieter Heymann, L. P. Felipe Chibante, Robert T. Brooks, Wendy S. Wolbach and R. E. Smalley, *Science* **265**, 642 and 645 **(1994)**.

LON J. WILSON

"Spectrochemical Study of the C₆₀ and C₇₀ Fullerenes and their Mono-, Di-, Tri- and Tetraanions," Dubois, D.; Kadish, K.M.; Flanagan, S.; Haufler, R.E.; Chibante, L.P.F.; Wilson, L. J., *J. Am. Chem. Soc.*, 113, 4364 (1991).

"Electrochemical Detection of Fulleronium and Highly-Reduced Fulleride (C₆₀⁵⁻) Ions in Solution, Dubois, D.: Kadish, K.M.; Flanagan, S.; Wilson, L.J., *J. Am. Chem. Soc.*, 113, 7773 (1991).

"Fullerene Electrochemistry: Detection, Generation, and Study of Fulleronium and Fulleride Ions in Solution," L.J. Wilson, S. Flanagan, L.P.F. Chibante and J.J. Alford, in <u>Buckminsterfullerenes</u>, W.E. Billups and M.A. Ciufolini Eds., VCH, Chapter 11, 285 **1993.**

"Electrochemical Studies and Syntheses of Fulleride-Derived Materials," L.J. Wilson, S. Flanagan, V. Khabashesku, M. Alford, F. Chibante, M. Diener, C. Fargason and E. Roche, "in <u>World Congress on Superconductivity: Proceedings of the 3rd International Conference and Exhibition</u>, K. Krishen and C.G. Burnham, Eds., Permagon Press, 913, 1993.

III. STUDENTS, POSTDOCTORAL RESEARCHERS AND OTHER COLLABORATORS

(a) Students Who Have Completed Ph.D. Theses Involving C₆₀ - Research

J. Michael Alford

(R. E. Smalley)

Norman Byrne

(M. A. Ciufolini)

L.P. F. Chibante

(R. E. Smalley)

J. Conceicao

(R. E. Smalley)

Scott Flanagan

(L. J. Wilson)

Michael Haley

(W. E. Billups)

Robert E. Haufler

(R. E. Smalley)

Sean C. O'Brien

(R. E. Smalley)

Chenyu Pan

(J. L. Margrave)

Z. Xiao

(J. L. Margrave)

(b) Other Researchers

Chad Fargason

(L. J. Wilson)

(currently pursuing a Ph.D. in

mathematics at another university)

Michael Diener

(R. E. Smalley)

(working at Los Alamos)

Ting Guo

(R. E. Smalley)

(Ph.D. expected 5/95)

Eric Roche

(L. J. Wilson)

(currently pursuing a Ph.D. in

chemistry at another university)

Andreas Thess

(R. E. Smalley)

(Ph.D. expected 5/97)

J. Zhang

(R. E. Smalley)

(received M.S. 5/94)

(c) Post-Doctoral Researchers

Dr. V. N. Khabashesku

(J. L. Margrave)

L. S. Wang

(R. E. Smalley)

(d) Other Personnel

Dr. M. S. Chandrasekharaiah, Research Chemist, Houston Advanced Research Center, The Woodlands, Texas.

Mr. D. Agan, Summer Research Student from the Conroe Independent School District, Conroe, Texas, at the Houston Advanced Research Center, The Woodlands, Texas.

Dr. Al Schultz, Ionwerks, Houston, Texas.